



## **SAFEGUARDING ADULT DECISION-MAKING GUIDANCE**

**Derby Safeguarding Adults Board would like to thank Derbyshire Safeguarding Adults Board for permission to use and adapt their Decision-Making Guidance.**

This practice guidance is intended as a tool to support agencies to decide about whether to make a referral to the Local Authority under the Safeguarding Adults Criteria set out by the Care Act (2014). The examples in this guidance are not exhaustive and it is expected that practitioners will use professional judgement when deciding whether to make a referral. In any circumstances where a practitioner is unsure, contact can be made with the Derby City Safeguarding Adults Team on 01332 642855 for professional advice (Monday-Friday 9am to 5pm).

All providers of adult social care or health care have a key role in safeguarding adults in their care, and all agencies have a duty to ensure adults with care and support needs are not placed at risk of abuse or neglect by delays in care and support planning. Employers must ensure that staff, including volunteers, are trained in recognising the signs and symptoms of abuse or neglect, how to respond, and where to go for advice and assistance.

Additional guidance is available from the Department of Health and Social Care (DHSC) in the [Ethical Framework for Adult Social Care](#) provides support to ongoing response planning and decision-making to ensure that ample consideration is given to a core set of ethical values and principles when organising and delivering social care for adults, including for safeguarding.

## **The table below identifies the level of concern where immediate action is required.**

**The examples are not exhaustive and as per previous guidance it is expected that practitioners will use professional judgement to inform decision-making**

### **Guidance and Considerations**

- Is there reasonable cause to suspect that the adult has needs for care and support? Remember that this includes people whose needs may not be being met by the Local Authority
- Can the adult protect themselves?
- Does the adult have the communication skills to raise an alert?
- Is the person dependent on the alleged perpetrator?
- Does the person lack mental capacity?
- Is what you are worried about simply an unwise decision, or is it a symptom of a more significant concern?
- Has the alleged victim been threatened or coerced into making decisions?
- Have there been an increase in incidents or issues, or a number of concerns accumulating to make this more worrying?

Please note that for residents of Derby City you will need to contact Safeguarding Adults Team on 01332 642855 or email [AdultsMASH@derby.gov.uk](mailto:AdultsMASH@derby.gov.uk).

## Black/Critical

### Black/Critical Safeguarding Adult Concerns where there is a need for immediate action.

**Example:** You have been made aware of an individual with care and support needs who is being subject to significant abuse/neglect which is highly likely to cause risk to life and/or risk of life changing injury; there may be concerns regarding capacity and/or him/her being subject to controlling and coercive behaviour and therefore powerless to seek help. There is no agency visiting the property/the individual hasn't been seen by any professionals/third party for some considerable length of time and there's no identifiable, safe means of having some dialogue with the individual therefore no additional information can be gathered.

#### Further factors to consider:

- Risk to life, risk of significant life-changing injury.
- Significant sexual assault within the past week.
- Imminent Forced Marriage.
- Significant threats to wider public safety.
- Cases where an urgent application needs to be made to court.

**If imminent risk to life, please contact Emergency Services in the first instance.**

Type of abuse	<b>GREEN</b> Examples of lower level concerns	<b>AMBER</b> Examples of Medium – Higher level concerns	<b>RED</b> Examples of Serious – Urgent level concerns
	<ul style="list-style-type: none"><li>• May or may not meet S42 criteria.</li><li>• Outcomes may include providing advice and information.</li></ul>	<ul style="list-style-type: none"><li>• S42 criteria met.</li></ul>	<ul style="list-style-type: none"><li>• S42 criteria met.</li></ul>

## Domestic Abuse

Type of abuse	Examples of Lower level concerns	Examples of Medium – Higher level concerns	Examples of Serious – Urgent level concerns <b>(urgent Police response may be required)</b>
<p><b>Domestic abuse</b></p> <p><b>Refer to the Police, as appropriate.</b></p>	<ul style="list-style-type: none"> <li>• One off incident with no injury or harm experienced</li> <li>• Victim reports no current concerns or fears</li> <li>• Occasional taunts or verbal outbursts</li> <li>• Occasional outbursts of verbal/physical abuse</li> <li>• Able to make own decisions concerning aspects of daily living</li> <li>• Protective factors in place</li> </ul>	<ul style="list-style-type: none"> <li>• Experiences constant fear of harm;</li> <li>• Unexplained marks, bruises, hand marks</li> <li>• No access to medical care;</li> <li>• Unable to access professionals for support, i.e., health care</li> <li>• Accumulation of incidents and harassment</li> <li>• No access to, or control over, finances</li> <li>• Subject to stalking behaviour and / or harassment</li> <li>• Subject to controlling behaviour</li> <li>• Children in house – refer to Children’s Services (rationale being they usually provide support whilst assessing the child)</li> </ul>	<ul style="list-style-type: none"> <li>• Threats to kill attempts to choke / strangulate</li> <li>• Assault causing serious harm</li> <li>• Use of objects as a weapon during an assault</li> <li>• Subjected to frequent, or escalating, violent behaviour</li> <li>• Sexual assault or rape</li> <li>• Coercive control that results in harm – e.g. not taking prescribed medication for serious health conditions as a result of the alleged perpetrators beliefs</li> <li>• Forced marriage</li> <li>• So-called honour-based violence</li> <li>• Use of a weapon; firearms, Knife etc</li> </ul>
<p><b>A Domestic Abuse Stalking and Harassment Risk Assessment (DASH) must be used to determine the level of risk in domestic abuse cases and a Multi-Agency Risk Assessment Conference (MARAC) referral made where appropriate.</b></p>			

## Physical Abuse

Type of abuse	<b>Examples of Lower level concerns</b> <ul style="list-style-type: none"> <li>• May not meet S42 criteria.</li> <li>• Outcomes may include providing advice and information.</li> </ul>	<b>Examples of Medium – Higher level concerns</b> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>	<b>Examples of Serious – Urgent level concerns</b> <b>(urgent Police response may be required)</b> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>
<b>Physical abuse</b>	<ul style="list-style-type: none"> <li>• An isolated incident between service users with no marks or bruising, and neither intimidated nor harmed</li> <li>• Action could be care plans amended and risk assessments completed</li> <li>• One-off staff error causing minor accidental injury, e.g., mark on skin after removing a dressing/pad</li> <li>• Moving and handling procedures not followed on one occasion – no harm caused</li> <li>• Adult missing one health check, or appointment (e.g., dental, optician), with no harm caused.</li> <li>• Allegations of non-recent abuse with no current risk</li> <li>• Actions could include further training for staff, increase supervision to prevent reoccurrence, information contracts and commissioning team and CQC, as appropriate</li> </ul>	<ul style="list-style-type: none"> <li>• Any cumulative lower-level concerns/incidents</li> <li>• Inappropriate restraint</li> <li>• Inexplicable marks, cuts, bruising, etc.</li> <li>• A predictable and preventable incident between service users where injuries have been sustained and emotional distress caused</li> <li>• Deliberate withholding of food, drink, care, aids to assist independence</li> <li>• Moving and handling procedures disregarded, making injury likely to happen</li> <li>• Adult missing one, or a number, of health checks or appointments (e.g., dental, optician) with potential for, or actual, harm being caused.)</li> <li>• One-off delay in accessing medical treatment with potential for serious harm</li> </ul>	<ul style="list-style-type: none"> <li>• Inexplicable injuries/fractures</li> <li>• Assault</li> <li>• Assault leading to permanent or substantial injury, or death</li> <li>• Physical abuse perpetrated by someone in a position of trust</li> <li>• Incidents of harm are reoccurring, despite being predictable by staff, or the injuries are more serious</li> <li>• Female Genital Mutilation (FGM);</li> <li>• Systematic failures in the provision of services</li> <li>• Recurrent incidents of serious physical harm</li> <li>• Physical abuse perpetrated by someone in a position of trust</li> </ul>

## Physical Abuse arising from medication errors

Type of abuse	<p style="text-align: center;"><b>Examples of Lower level concerns</b></p> <ul style="list-style-type: none"> <li>• May not meet S42 criteria.</li> <li>• Outcomes may include providing advice and information.</li> </ul>	<p style="text-align: center;"><b>Examples of Medium – Higher level concerns</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>	<p style="text-align: center;"><b>Examples of Serious – Urgent level concerns</b></p> <p style="text-align: center; color: red;"><b>(urgent Police response may be required)</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>
<p><b>Physical abuse arising from medication errors</b></p>	<ul style="list-style-type: none"> <li>• Adult does not receive prescribed medication on one occasion, or receives it at the wrong time or receives the wrong dose – no harm occurs</li> <li>• One-off prescribing or dispensing error by a GP, Pharmacist, or other medical professional with no harm caused</li> <li>• One-off delay in receiving medication, or medication error, resulting in experience of minor reversible symptoms (e.g., pain not affecting participation in activities)</li> <li>• Actions could include contacting the Pharmacist, GP or 111 to discuss and confirm any further action required</li> <li>• Further training for staff</li> <li>• Informing contracts and commissioning teams and CQC as appropriate</li> </ul>	<ul style="list-style-type: none"> <li>• Any cumulative lower level concerns that affect one or more individual, which could result in potential or actual harm</li> <li>• Misuse of controlled drugs, or not following proper procedures</li> <li>• Misuse, or over reliance on, sedatives to control all forms of challenging behaviour</li> <li>• Recurring prescribing or dispensing errors by a GP, Pharmacist, or other medical professional, that affects more than one adult</li> <li>• Any deliberate misadministration of medication, regardless of the level of harm caused</li> <li>• Pattern of recurring errors</li> <li>• Covert administration of medication without medical/MDT authorisation / best interest decision recorded</li> </ul>	<ul style="list-style-type: none"> <li>• Deliberate misadministration of medication, with serious harm caused</li> <li>• Deliberate falsification of records</li> </ul>

## Sexual Abuse

Type of abuse	Examples of Lower level concerns	Examples of Medium – Higher level concerns	Examples of Serious – Urgent level concerns <i>(urgent Police response may be required)</i>
<p><b>Sexual</b></p> <p><i><b>ALL REFERRALS SHOULD BE DISCUSSED WITH THE POLICE, AS APPROPRIATE.</b></i></p>	<p>Isolated incident, comment, teasing or non-sexualised touching, (excluding genitalia) with no distress caused unless committed by a person in a position of trust (PIPOT)</p> <ul style="list-style-type: none"> <li>Consider mental capacity and insight – no perceived sense of harm and the effect on the adult is low</li> <li>Actions could include, review and amendment of care plans and risk assessments, and ensuring staff are suitably trained and competent.</li> <li>For concerns within Derby City, please contact the MASH Team: 01332 642855</li> </ul>	<ul style="list-style-type: none"> <li>Repeated incidents of comments, teasing, unwanted sexualised attention (verbal), whether or not mental capacity, exists which causes distress</li> <li>Being exposed to naked genitalia without valid consent</li> <li>Being made to view pornographic material without valid consent</li> </ul>	<ul style="list-style-type: none"> <li>Sex, or attempted sex, without valid consent (rape)</li> <li>Sexualised touching, or masturbation, without valid consent</li> <li>Attempted penetration by any means without valid consent</li> <li>Sexual exploitation, including grooming, or coercion</li> <li>Any sexual activity (sex, touching, masturbation, sexual assault and exploitation) by a person in a position of trust (PIPOT) or paid carer, with their client</li> <li>For concerns within Derby City, please contact the MASH Team: 01332 642855</li> </ul>

## Psychological and Emotional

Type of abuse	<p style="text-align: center;"><b>Examples of Lower level concerns</b></p> <ul style="list-style-type: none"> <li>• May not meet S42 criteria.</li> <li>• Outcomes may include providing advice and information.</li> </ul>	<p style="text-align: center;"><b>Examples of Medium – Higher level concerns</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>	<p style="text-align: center;"><b>Examples of Serious – Urgent level concerns</b> <b>(urgent Police response may be required)</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>
<p><b>Psychological and emotional</b></p>	<ul style="list-style-type: none"> <li>• Isolated incident where an adult is spoken to in a rude or inappropriate way – little or no distress caused</li> <li>• Isolated incident of ASB (anti-social behaviour) against an adult</li> <li>• Allegations of non-recent abuse where there is no current risk</li> <li>• Actions could include sharing information with Safer Neighbourhood teams</li> <li>• Ensure the adult with care and support needs and staff understand relationship boundaries and what is appropriate behaviour</li> <li>• Risk management assessments/processes are reviewed</li> <li>• Consider further training needs</li> </ul>	<ul style="list-style-type: none"> <li>• On-going reports of ASB (anti-social behaviour)</li> <li>• Prolonged intimidation, coercion, and victimisation, which impacts on the person’s ability to make choice</li> <li>• Threats to cause physical harm</li> <li>• Occasional or on-going bullying (face-to-face or online), which causes distress or intimidation</li> <li>• Verbal abuse perceived as <a href="#">hate crime</a> (face-to-face or online)</li> <li>• Abuse designed to cause humiliation</li> <li>• Emotional blackmail, including threats of abandonment</li> <li>• Concerns that an adult is vulnerable to radicalisation</li> <li>• Denying an adult’s choice and opinion</li> </ul>	<ul style="list-style-type: none"> <li>• A denial of basic human rights and civil liberties, such as denying an adult’s choice, or over-riding advanced directives</li> <li>• Suicidal thoughts/ideation as a result of psychological/emotional abuse</li> <li>• Threats or intimidation by a person in a position of trust (PIPOT)</li> </ul>

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<b>Psychological and emotional (continued)</b>		<ul style="list-style-type: none"> <li>• Treatment or care which undermines dignity and self-esteem</li> <li>• Threats relating to sexual identity or lifestyle choice</li> <li>• Damage to property, environment, abuse of pets</li> </ul>	

## Neglect and acts of omission

Type of abuse	<p style="text-align: center;"><b>Examples of Lower level concerns</b></p> <ul style="list-style-type: none"> <li>• May not meet S42 criteria.</li> <li>• Outcomes may include providing advice and information.</li> </ul>	<p style="text-align: center;"><b>Examples of Medium – Higher level concerns</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>	<p style="text-align: center;"><b>Examples of Serious – Urgent level concerns</b> <b>(urgent Police response may be required)</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>
<p><b>Neglect and acts of omission</b> <i>(including falls)</i></p>	<ul style="list-style-type: none"> <li>• Adult not assisted with a drink or a meal on one occasion – no harm occurs</li> <li>• An unplanned hospital discharge, where there has been no harm</li> <li>• An isolated domiciliary care call is delayed or missed, but no harm occurs</li> <li>• A fall occurs where there has been no previous indication of a falls risk – action taken to reduce further risk</li> <li>• Fall results in an injury, but risk assessments and care plans are in place and have been followed</li> </ul>	<ul style="list-style-type: none"> <li>• Failure or delay in scheduled domiciliary care visits, which results in a deterioration of health, pain or significant discomfort</li> <li>• Adult known to mental health (or other services) reporting suicidal ideation or assessed as a risk of suicide – timely response not made, or information shared resulting in harm</li> <li>• Repeated or serious incidents of harm or abuse as a result of systematic failures to prevent harm from occurring. Also, a failure to seek appropriate advice and support, follow care plans, or complete risk assessments</li> <li>• Care plan does not identify how a need will be met, e.g., pain management, pressure care, behaviour that challenges, resulting in potential harm</li> </ul>	<ul style="list-style-type: none"> <li>• There is a clear breach of ‘duty of care’ and professional practice/responsibility</li> <li>• Hospital discharge without adequate planning resulting in significant harm</li> <li>• Failure or delay in scheduled domiciliary care visits, which results in serious injury</li> <li>• Repeated or serious incidents of harm resulting from a failure to follow procedures or to ensure care plans adequately addressing needs</li> <li>• Failing to call for or access lifesaving medical care</li> <li>• Deliberate neglect or omission of care by a paid carer or person in a position of trust (PIPOT)</li> </ul>

Type of abuse	<b>Examples of Lower level concerns</b> <ul style="list-style-type: none"> <li>• May not meet S42 criteria.</li> <li>• Outcomes may include providing advice and information.</li> </ul>	<b>Examples of Medium – Higher level concerns</b> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>	<b>Examples of Serious – Urgent level concerns</b> <b>(urgent Police response may be required)</b> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>
<b>Neglect and acts of omission</b> <i>(including falls)</i> <b>(continued)</b>		<ul style="list-style-type: none"> <li>• Any cumulative lower-level concerns/incidents</li> <li>• Removal of or withholding access to aids to assist independence</li> <li>• Adult has a number of falls and there is no evidence of a review of care plans, risk assessments or seeking other appropriate advice</li> <li>• Delay in seeking appropriate medical advice, or failure to follow medical guidance resulting in harm (including physical and mental ill- health)</li> <li>• Failure to respond or intervene where an adult lacks capacity to assess risk</li> </ul>	

## Discrimination

Type of abuse	<p style="text-align: center;"><b>Examples of Lower level concerns</b></p> <ul style="list-style-type: none"> <li>• May not meet S42 criteria.</li> <li>• Outcomes may include providing advice and information.</li> </ul>	<p style="text-align: center;"><b>Examples of Medium – Higher level concerns</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>	<p style="text-align: center;"><b>Examples of Serious – Urgent level concerns</b> <b>(urgent Police response may be required)</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>
<p><b>Discrimination</b></p>	<ul style="list-style-type: none"> <li>• Isolated incident or teasing, rude behaviour motivated by prejudicial attitudes – little or no harm, or distress caused</li> <li>• Care planning where specific diversity needs are not addressed or provided for in an isolated incident</li> <li>• Actions could include further training, disciplinary, complaints procedures being used.</li> </ul>	<ul style="list-style-type: none"> <li>• Consider cumulative lower-level concerns</li> <li>• On-going failure to access services due to diversity issues</li> <li>• Experience of on-going ASB (anti-social behaviour) due to diversity issues</li> <li>• Actions could include further training, disciplinary, complaints procedures</li> <li>• Hate crime – infrequent, but recurrent incidents motivated by prejudice based on disability, race, religion, sexuality, gender identity, age, which results in intimidation, emotional distress, loss of confidence and dignity</li> <li>• On-going failure to support the adult to access places of worship which causes distress or harm.</li> </ul>	<ul style="list-style-type: none"> <li>• <u>Hate crime</u> – serious or recurrent incidents motivated by prejudice based on disability, race, religion, sexuality, gender identity, age resulting in harm or impacting on wellbeing</li> <li>• The above could include: humiliation on a regular basis, discriminatory threats of harm and withholding services</li> <li>• Honour-based violence</li> <li>• Potential risk to self and public safety due to a risk of radicalisation</li> <li>• Female Genital Mutilation (FGM)</li> </ul>

## Financial Abuse

<p style="text-align: center;"><b>Type of abuse</b></p>	<p style="text-align: center;"><b>Examples of Lower level concerns</b></p> <ul style="list-style-type: none"> <li>• May not meet S42 criteria.</li> <li>• Outcomes may include providing advice and information.</li> </ul>	<p style="text-align: center;"><b>Examples of Medium – Higher level concerns</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>	<p style="text-align: center;"><b>Examples of Serious – Urgent level concerns</b> <b>(urgent Police response may be required)</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>
<p><b>Financial</b></p> <p>For all safeguarding referrals regarding a person in a position of trust, consideration should be given to consultation with the Police.</p> <p>See <a href="#">PIPOT guidance for Derby City</a>, contact the MASH Team: 01332 642855</p>	<ul style="list-style-type: none"> <li>• Isolated incident of a small amount of money, food, belongings going missing – quality of life of the adult is not affected and no distress caused</li> <li>• Isolated incident of staff borrowing items from service users, with their consent – items returned to service user</li> <li>• Isolated incident of staff taking the ‘one free’ from ‘buy one get one free’ offers, and accruing reward points on their own cards when shopping for service users</li> <li>• Transactions with money are not recorded routinely, safely or properly</li> <li>• Actions could include further training, disciplinary, complaints procedures</li> </ul>	<ul style="list-style-type: none"> <li>• Fraud, exploitation, of benefits, income, property, will</li> <li>• Misuse of Lasting Power of Attorney</li> <li>• Doorstep crime and loan sharks</li> </ul>	<ul style="list-style-type: none"> <li>• Theft by a person in a position of trust</li> <li>• Adult denied any access to their finances</li> <li>• Modern slavery</li> </ul>

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<b>Financial Abuse (continued)</b>	<ul style="list-style-type: none"> <li>• Actions not taken in the adult’s best interests where they lack mental capacity to make financial decisions</li> <li>• Adult not routinely involved in decisions about their finances – how it is spent or kept safe</li> <li>• Failure to meet agreed contributions to care costs by families, or personal allowance not given to adult in care home</li> <li>• Money kept in a joint bank account with no clarity of management or equity of access</li> <li>• Failure to assess mental capacity where it is suspected, or clear that it is in question, and harm is caused, e.g., exploitation, financial abuse, debt</li> </ul>		

## Institutional and Organisational

<p style="text-align: center;"><b>Type of abuse</b></p>	<p style="text-align: center;"><b>Examples of Lower level concerns</b></p> <ul style="list-style-type: none"> <li>• May not meet S42 criteria.</li> <li>• Outcomes may include providing advice and information.</li> </ul>	<p style="text-align: center;"><b>Examples of Medium – Higher level concerns</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>	<p style="text-align: center;"><b>Examples of Serious – Urgent level concerns</b> <b>(urgent Police response may be required)</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>
<p><b>Institutional and Organisational</b></p> <p>These lists are not exhaustive, and reference should be made to other categories within this document.</p>	<ul style="list-style-type: none"> <li>• Care planning documents are not person-centred and of sufficient detail to ensure appropriate care is provided</li> <li>• One-off occasion of support levels as identified in the care plan, e.g., 1:1/2:1, are not adhered to, and no harm is reported to have occurred</li> <li>• Lack of opportunity for social and leisure activities and/or a general lack of age-appropriate stimulation;</li> <li>• No ‘voice’ for the adult with care and support needs within their living environment/advocacy not sought where appropriate</li> <li>• Medication errors which affect one or more adult, which has not resulted in harm</li> </ul>	<ul style="list-style-type: none"> <li>• On-going concerns about living environment/poor hygiene</li> <li>• Inappropriate restraint and possible deprivation of liberty is occurring, and no application for <a href="#">Deprivation of Liberty Safeguards (DoLS)</a> considered or made, and best interest is assumed or has been ignored</li> <li>• Accumulation of concerns/minor incidents</li> <li>• Support levels as identified in the care plan, e.g., 1:1/2:1 not adhered to, and harm occurs, or are repeated incidents (with no harm)</li> <li>• Hospital discharge without adequate care planning/consideration by the care provider of a change in need and harm occurs</li> <li>• Unsafe staffing levels</li> </ul>	<ul style="list-style-type: none"> <li>• Unsafe and unhygienic living environment</li> <li>• Excessive or inappropriate responses to challenging behaviour</li> <li>• Over-medicating to manage behaviour; inappropriate sedation.</li> <li>• Essential medication not administered; withholding of medication</li> <li>• Misuse of power by a person in a position of trust</li> <li>• A person in a position of trust entering into an intimate relationship with an adult with care and support needs</li> </ul>

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<b>Institutional and Organisational (continued)</b>	<ul style="list-style-type: none"> <li>• Absence of, or inadequate policies, procedures, supervision, training – no harm occurs</li> <li>• Minor environmental concerns</li> <li>• Lack of dignity in respect of choice of clothing; how and when personal care support is received; set times for getting up/going to bed; lack of choice about dietary preferences</li> <li>• Actions could include a review of care plans, engagement with DCC and CCG contract, commissioning and quality teams. Engagement with agencies such as Environmental Health, DCHS/CCG safeguarding leads, Fire Service</li> </ul>	<ul style="list-style-type: none"> <li>• Inflexible routines which impact on health and wellbeing, practice, policies and procedures of an organisation which result in harm or denial of choice;</li> <li>• Covert administration of medication without consideration of ethical or best interest issues, or medical authorisation</li> </ul>	<ul style="list-style-type: none"> <li>• Failure to provide ongoing access to health care/appointments</li> <li>• An accumulation of evidence of a failure to keep people safe/consistent ill treatment/pattern of recurring errors</li> <li>• Unsafe staffing levels resulting in significant harm or ability to provide identified levels of care and support</li> </ul>

## Self-Neglect

<p style="text-align: center;"><b>Type of abuse</b></p>	<p style="text-align: center;"><b>Examples of Lower level concerns</b></p> <ul style="list-style-type: none"> <li>• May not meet S42 criteria.</li> <li>• Outcomes may include providing advice and information.</li> </ul>	<p style="text-align: center;"><b>Examples of Medium – Higher level concerns</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>	<p style="text-align: center;"><b>Examples of Serious – Urgent level concerns</b> <b>(urgent Police response may be required)</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>
<p><b>Self-neglect</b></p> <p>All standard interventions must be considered/used to support the adult and manage risk before a safeguarding referral is made, e.g., review of care plan, assessment of social care needs, engagement with fire, environmental health.</p> <p>Only cases where there is a significant risk of harm should be referred to safeguarding.</p>	<ul style="list-style-type: none"> <li>• Signs of failing to engage with professionals and withdraw from family/support mechanisms</li> <li>• Self-care and presentation causing some concern, and which is out of character</li> <li>• Lack of self-care and engagement with health appointments, resulting in deterioration of health and wellbeing</li> <li>• Increased substance misuse causing lifestyle to become consistently chaotic with an increased risk of harm or exploitation</li> <li>• Increased reports of concerns from agencies or family</li> <li>• Some neglect of property and/or signs of hoarding</li> </ul>	<ul style="list-style-type: none"> <li>• Chaotic lifestyle which is becoming increasingly concerning for professionals, family, or community</li> <li>• Property neglected, unsanitary conditions, lack of essential amenities, increased risks due to level of hoarding</li> </ul>	<ul style="list-style-type: none"> <li>• Behaviour poses a significant risk to self, or others</li> <li>• Self-neglect has resulted in a significant deterioration of health and wellbeing</li> <li>• Living environment is hazardous, presenting a significant risk to self and others, or access to property restricted due to hoarding or neglect of property</li> <li>• Multiple reports of concern by other agencies, family or community</li> <li>• Potential fire risks to self and others</li> <li>• Consistently chaotic lifestyle due to substance abuse causing harm to self and others</li> </ul>

## Modern Slavery

<p style="text-align: center;"><b>Type of abuse</b></p>	<p style="text-align: center;"><b>Examples of Lower level concerns</b></p> <ul style="list-style-type: none"> <li>• May not meet S42 criteria.</li> <li>• Outcomes may include providing advice and information.</li> </ul>	<p style="text-align: center;"><b>Examples of Medium – Higher level concerns</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>	<p style="text-align: center;"><b>Examples of Serious – Urgent level concerns</b> <b>(urgent Police response may be required)</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>
<p><b>Modern Slavery</b></p> <p>All referrals concerning modern slavery should be considered at ‘Medium-Higher’, or ‘Serious-Urgent’ levels</p>	<p>All referrals concerning modern slavery should be considered at ‘Medium-Higher’, or ‘Serious-Urgent’ levels</p>	<ul style="list-style-type: none"> <li>• Adults coerced, often under the threat of violence, to work long hours, or forced into prostitution, in order to pay off debts to them</li> <li>• A large number of adults sharing a room or property resulting in lack of dignity, space and unsanitary conditions</li> <li>• Domestic servitude – adults forced to work with little or no pay, limited or no time off, and lack of personal space to live or sleep</li> <li>• Working in environments and receiving low or no pay as a result of coercion and threats of violence to them and their family – e.g., food packaging, cleaning, hospitality sector, food picking, nail bars, car washes</li> <li>• Adults in fear of providing personal information or seeking</li> </ul>	<ul style="list-style-type: none"> <li>• Adults subject by another to threats of, or actual, violence to them and their families if they do not work as directed</li> <li>• Adults forced to perform non-consensual or abusive sexual acts for money</li> <li>• Adults moved frequently to other locations around an area or the country</li> <li>• Adults coerced into criminal activity against their will</li> <li>• Adults in domestic settings forced to work with little or no pay, limited or no time off, and lack of personal space</li> <li>• Adults forced to live in sheds, garages, containers, caravans without access to essential</li> </ul>

Type of abuse	<b>Examples of Lower level concerns</b> <ul style="list-style-type: none"> <li>• May not meet S42 criteria.</li> <li>• Outcomes may include providing advice and information.</li> </ul>	<b>Examples of Medium – Higher level concerns</b> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>	<b>Examples of Serious – Urgent level concerns</b> <b>(urgent Police response may be required)</b> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>
<b>Modern Slavery (continued)</b>		medical/social care support due to threats.	amenities such as heat, light, food <ul style="list-style-type: none"> <li>• Adults unable to have the freedom, or choice, to leave because their passport or ID has been removed by non-legal means</li> <li>• Subject to forced marriage</li> <li>• No access to medical care</li> <li>• Modern slavery concerns</li> </ul>

## Pressure Ulcers

<p style="text-align: center;"><b>Type of abuse</b></p>	<p style="text-align: center;"><b>Examples of Lower level concerns</b></p> <ul style="list-style-type: none"> <li>• May not meet S42 criteria.</li> <li>• Outcomes may include providing advice and information.</li> </ul>	<p style="text-align: center;"><b>Examples of Medium – Higher level concerns</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>	<p style="text-align: center;"><b>Examples of Serious – Urgent level concerns</b> <b>(urgent Police response may be required)</b></p> <ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>
<p><b>Pressure Ulcers</b> Neglect - pressure ulcer (PU). PU may be new, from previously intact skin and/or deterioration in a current PU</p> <p>PU category (cat): Cat 2 multiple sites Cat 3 Cat 4 Suspected deep tissue injury (DTI) Unstageable</p> <p>Cat 2: partial thickness loss of skin, area is red/pink may be blistered Cat 3: full thickness skin loss, adipose fat visible</p>	<ul style="list-style-type: none"> <li>• Adult is able to make decision about PU management and is concordant or non-concordant with some/all care;</li> <li>• Adult is unable to make decision about PU management: <ul style="list-style-type: none"> <li>○ Capacity assessment and best interest process documented;</li> </ul> </li> <li>• Non-concordance with care/equipment identified where relevant;</li> <li>• PU assessment/care plan documented</li> <li>• Necessary pressure relieving; equipment is in place or identified as required and ordered;</li> <li>• Change in physical condition in days/hours or underlying health condition contributing to PU or history of recent fall and time spent on floor;</li> <li>• Tissue Viability advice not required or has been sought and acted upon;</li> </ul>	<ul style="list-style-type: none"> <li>• Adult is unable to make decision about PU management: <ul style="list-style-type: none"> <li>○ Capacity assessment and best interest process not documented;</li> </ul> </li> <li>• Non-concordance with care/equipment not identified where relevant;</li> <li>• PU assessment/care plan not documented or incomplete;</li> <li>• Necessary pressure relieving equipment is unavailable or delay in equipment provision contributing to development/deterioration of PU;</li> <li>• No recent change in physical condition or underlying health condition contributing to PU;</li> <li>• No history of recent fall and time spent to floor;</li> </ul>	<ul style="list-style-type: none"> <li>• Medium/higher level concerns apply to one or more adults (e.g. in a care facility) who need immediate medical/nursing assessment as a result of the omissions in PU management;</li> <li>• Seek advice about potential police contact from: <ul style="list-style-type: none"> <li>○ Organisation safeguarding lead and/or senior managers;</li> <li>○ Safeguarding Lead Derby City Council.</li> </ul> </li> </ul> <p><b><i>N.B. Pressure Ulcer and Safeguarding Assessment Checklist (PUSAC) may also be used to record review and decision- making about a safeguarding referral</i></b></p>

Type of abuse	Examples of Lower level concerns	Examples of Medium – Higher level concerns	Examples of Serious – Urgent level concerns <b>(urgent Police response may be required)</b>
Cat 4: full thickness skin and tissue loss, structures i.e. bone/ligament may be visible Deep Tissue Injury (DTI): persistent non-blanching deep red/maroon or purple discoloration indicates unstageable cat 3 or 4 damage	<ul style="list-style-type: none"> <li>• PU management delivered as per care plan.</li> </ul> <p><b><i>N.B. Pressure Ulcer and Safeguarding Assessment Checklist (PUSAC) may also be used to record review and decision-making about a safeguarding referral</i></b></p>	<ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul> <ul style="list-style-type: none"> <li>• Tissue Viability advice sought and not followed or not sought contributing to development and/or deterioration of PU;</li> <li>• PU management not provided/equipment not used or access to care prevented by carer.</li> </ul> <p><b><i>N.B. Pressure Ulcer and Safeguarding Assessment Checklist (PUSAC) may also be used to record review and decision-making about a safeguarding referral</i></b></p>	<ul style="list-style-type: none"> <li>• S42 criteria met.</li> </ul>

If you are worried about an adult with care and support needs, don't stay silent. To do nothing is not an option. Decisions made by the safeguarding adult team will be made based on the information available at the time. Phone the Safeguarding Adults Team on 01332 642855 or email [AdultsMASH@derby.gov.uk](mailto:AdultsMASH@derby.gov.uk) or complete a secure electronic [safeguarding referral form](#).

**In an emergency always contact the relevant emergency service by dialling 999. If it is not an emergency but is a police matter dial 101.**